

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	KCX-359(15169)	09/718,071
	Applicant	
	Jay C. Hsu, et al.	
	Filing Date	Group
	11/21/00	Not Yet Furnished
	Confirmation No. Not yet Furnished	



NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
       USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
       USSN \_\_\_\_\_, filed \_\_\_\_\_;  
       Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5)

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE
AW	THOMPSON	8 5 1 8 8	12/22/1868	
	WHEELER	3 0 2 0 7 3	07/15/1884	
	MACKENZIE	1 6 8 7 6 2 5	10/16/1928	
	BERLINER	1 6 8 7 6 4 3	10/16/1928	
	AISEN	1 7 4 3 5 1 2	01/14/1930	
	ZUCKERMAN	1 7 8 6 5 1 3	12/30/1930	
	WASHBURN	1 8 6 8 8 6 2	07/26/1932	
	WORONOFF	2 0 9 3 8 2 4	09/21/1937	
	EHRET	2 2 5 1 3 2 8	08/05/1941	
	CLARK	2 3 9 0 9 2 1	12/11/1945	
	ENGLUND	2 4 3 2 0 9 1	12/09/1947	
	VINCENT	2 4 6 0 7 7 6	02/01/1949	
	GRESHAM	3 2 6 4 1 8 8	08/02/1966	
	CHARLE, ET AL.	3 6 9 1 2 7 1	09/12/1972	
AW	BUCHALTER	3 8 9 6 8 0 7	07/29/1975	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION	COPY NOTE
				YES NO N/A	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
EXAMINER <i>Baron D. W. 16</i>	DATE CONSIDERED <i>12/9/07</i>	
Examiner: initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	KCX-359(15169)	09/718,071
	Applicant	
	Jay C. Hsu, et al.	
	Filing Date	Group
	11/21/00	Not Yet Furnished
	Confirmation No. Not yet Furnished	

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
       USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
       USSN \_\_\_\_\_, filed \_\_\_\_\_;  
       Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5)

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE
QW	WATSON, ET AL.	4 1 9 0 6 4 3	02/26/1980	
	VARONA	4 3 0 9 4 6 9	01/05/1982	
	SNYDER, ET AL.	4 3 1 9 9 5 6	03/16/1982	
	ANDERSON	4 3 6 2 7 8 1	12/07/1982	
	SMITH	4 4 6 2 9 8 1	07/31/84	
	ALLEN	4 4 8 1 2 4 3	11/06/1984	
	SMITH, ET AL.	4 5 5 9 1 5 7	12/17/1985	
	SMITH, ET AL.	4 6 9 0 8 2 1	09/01/1987	
	KUENN, ET AL.	4 7 6 4 4 1 8	08/16/1988	
	BOGART, ET AL.	4 7 8 6 3 6 7	11/22/1988	
	ENDICOTT, ET AL.	4 7 8 8 0 6 0	11/29/1988	
	KUENN, ET AL.	4 8 2 4 6 8 9	04/25/1989	
	BOGART, ET AL.	4 8 8 2 2 2 1	11/21/1989	
	BOGART, ET AL.	4 8 8 3 4 7 5	11/28/1989	
QW	BOGART, ET AL.	4 9 4 3 3 5 0	07/24/1990	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION	COPY NOTE
				YES NO N/A	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		
EXAMINER	DATE CONSIDERED		
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		



(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	KCX-359(15169)	09/718,071
	Applicant	
	Jay C. Hsu, et al.	
	Filing Date	Group
	11/21/00	Not Yet Furnished
	Confirmation No. Not yet Furnished	

## NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
       USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
       USSN \_\_\_\_\_, filed \_\_\_\_\_;  
       Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5)

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE
<i>XQW</i>	AMPULSKI, ET AL.	5 1 6 4 0 4 6	11/17/1992	
	AMPULSKI	5 2 4 6 5 4 6	09/21/1993	
	GOLDSTEIN	5 2 5 2 3 3 2	10/12/1993	
	HOANG, ET AL.	5 3 3 4 3 8 8	08/02/1994	
	DE FRANCESCO	5 3 3 6 2 1 2	08/09/1994	
	WARNER, ET AL.	5 5 2 5 3 4 5	06/11/1996	
	HA, ET AL.	5 5 8 5 1 0 4	12/17/1996	
	GATT, ET AL.	5 5 9 3 5 0 8	01/14/1997	
	KRZYSIK, ET AL.	5 6 0 1 8 7 1	02/11/1997	
	KRZYSIK, ET AL.	5 6 1 4 2 9 3	03/25/1997	
	MACKEY, ET AL.	5 6 2 4 6 7 6	04/29/1997	
	MCATEE, ET AL.	5 6 6 5 3 6 4	09/09/1997	
	MITRA, ET AL.	5 6 8 6 0 8 9	11/11/1997	
	MACKEY, ET AL.	5 7 0 5 1 6 4	01/06/1998	
<i>XQW</i>	WARNER, ET AL.	5 7 1 6 6 9 2	02/10/1998	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION	COPY NOTE
				YES NO N/A	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		
EXAMINER	DATE CONSIDERED		
	<i>5/29/02</i>		
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-359(15169)	Serial Number 09/718,071
	Applicant Jay C. Hsu, et al.	
	Filing Date 11/21/00 Confirmation No. Not yet Furnished	Group Not Yet Furnished

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
       USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
       USSN \_\_\_\_\_, filed \_\_\_\_\_;  
       Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5)

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE
LOW	OSTENDORF	5 7 2 0 9 6 6	02/24/1998	
	MCATEE, ET AL.	5 8 1 1 1 1 1	09/22/1998	
	KLOFTA, ET AL.	5 8 3 0 4 8 7	11/03/1998	
	KRZYSIK	5 8 6 9 0 7 5	02/09/1999	
	LUU, ET AL.	5 8 7 1 7 6 3	02/16/1999	
	KRZYSIK, ET AL.	5 8 8 5 6 9 7	03/23/1999	
	VLASBLOM	5 8 9 1 8 3 5	04/06/1999	
	SMYTH, ET AL.	5 9 1 6 5 6 8	06/29/1999	
	MERIANOS, ET AL.	5 9 4 2 2 4 0	08/24/1999	
	FRANKENBACH, ET AL.	5 9 4 2 4 7 9	08/24/1999	
	WAGNER, ET AL.	5 9 5 1 9 9 1	09/14/1999	
	ROSE, ET AL.	5 9 6 2 0 0 1	10/05/1999	
	LIU, ET AL.	5 9 7 6 5 5 5	11/02/1999	
	SANOGUEIRA, JR., ET AL.	6 0 0 1 3 7 7	12/14/1999	
LOW	DOUGHTY, ET AL.	6 0 1 3 2 7 1	01/11/2000	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION	COPY NOTE
				YES NO N/A	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
EXAMINER	DATE CONSIDERED	
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number KCX-359(15169)	Serial Number 09/718,071
	Applicant Jay C. Hsu, et al.	
	Filing Date 11/21/00  Confirmation No. Not yet Furnished	Group Not Yet Furnished

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
 USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
 USSN \_\_\_\_\_, filed \_\_\_\_\_;  
 Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5)

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE
XQW	VLASBLOM	6 0 1 5 7 6 3	01/18/2000	
	CARDINALI, ET AL.	6 0 2 5 4 3 1	02/15/2000	
	HSU, ET AL.	6 0 7 4 5 2 7	06/13/2000	
	PEFFLY, ET AL.	6 0 9 3 4 1 0	07/25/2000	
	DATE, ET AL.	6 0 9 6 3 2 5	08/01/2000	
XQW	ELDER, ET AL.	6 1 0 7 5 3 7	08/22/2000	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	
XQW	WO	9 3 2 1 3 8 3	10/28/1993			X	
	WO	9 4 0 5 8 5 6	03/17/1994			X	
	WO	9 8 0 3 1 4 7	01/29/1998			X	
	WO	9 9 4 1 0 6 8	08/19/1999			X	
	WO	9 9 4 5 9 7 1	09/16/1999		X		
	EPO	3 6 5 1 6 0 B1	12/14/1994			X	
	EPO	6 1 3 6 7 5 A1	09/07/1994			X	
XQW	EPO	8 0 8 1 5 7 B1	11/26/1997			X	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS Specify author (if any), Title, Pertinent Pages, Date & Place of Publication	COPY NOTE
EXAMINER	DATE CONSIDERED	
Examiner: <i>Bar Q. Well</i> initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	5/29/02	

(Rev. 5/92) Information Disclosure Statement List By Applicant Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number	Serial Number
	KCX-359(15169)	09/718,071
	Applicant	
	Jay C. Hsu, et al.	
	Filing Date	Group
	11/21/00	Not Yet Furnished
	Confirmation No. Not yet Furnished	

NOTE:

If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:  
     USSN \_\_\_\_\_, filed \_\_\_\_\_, or  
     USSN \_\_\_\_\_, filed \_\_\_\_\_;  
     Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5)

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER	ISSUE DATE	COPY NOTE

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO	N/A	
QW	GERMANY	4 2 0 2 7 0 3 A1	1993			X	
	GERMANY	3 4 1 6 0 4 3 A	1985			X	
QW	CANADA	1 1 1 3 7 3 8 A	12/27/1995			X	

\*"NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

EXAMINER INITIALS	OTHER DOCUMENTS		COPY NOTE
	Specify author (if any), Title, Pertinent Pages, Date & Place of Publication		
EXAMINER	DATE CONSIDERED		
	5/29/02		
Examiner:	initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.		